

**BBVA**

Corporate &  
Investment Banking

# Client Clearing: Request for Proposal Form

## Prospective client information

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Legal Name	<input type="text"/>
LEI	<input type="text"/>
Financial Counterparty Y/N	<input type="text"/>
Clearing Obligation Y/N	<input type="text"/>
Sector of activity	<input type="text"/>

## Prospective client on-boarding documentation

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- On-Boarding at BBVA documentation required (needed only for those entities not already on boarded as Banco Bilbao Vizcaya Argentaria, S.A. (“BBVA”) Clients):
  - Certificate of Incorporation and articles of association/by-laws;
  - Extract from the official register of companies;
  - Evidence of nature of the entity’s business activity (e.g. Latest Annual report or Audited Accounts);
  - Shareholder structure;
  - Identification of Ultimate Beneficial Owners (individuals ultimately, directly or indirectly owns or has control, through one or more shareholdings, of 25% or more of the shares or voting rights of the Company) and Board of Directors;
  - BBVA KYC form (to be filled-in and signed by the client).
  - Other documents BBVA may require as a consequence of our analysis
- On-Boarding at BBVA Clearing Service
  - ISDA or CMOF Master Agreement in force.
  - Clearing Addendum (signed): It will regulate the service and include the following information:
    - Eligible collateral;
    - Clearing Limits;
    - Pricing Schedule.
  - BBVA Client Clearing On-Boarding form.

## Prospective client OTC activity information

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- Information about the OTC Contracts concerned, including confirmation whether those contracts are subject to clearing obligation in accordance with Article 4 of Regulation (EU) NO 648/2012.
  - Current cleared portfolio;
  - Envisaged clear portfolio for the next 24 months;
  - Collateral currently posted;
  - Details of the agreement with your current provider, including any transfer plan;
  - Any other information that may be relevant.
  
- Please provide us with the following information (in case this information has not been already provided to BBVA by the prospective client in the on-boarding process):
  - Last 3 years audited accounts, including information about credit and bond portfolios;
  - Credit rating report for the last 3 years;
  - Detailed company profile including subsidiaries and ownership;
  - Trading venues where you are a member;
  - Description of trading activity and risk profile;
  - Name of BBVA relationship manager;
  - Operational and technical workflow set up;
  - BBVA reserves the right to ask for further information in order to complete the risk assessment.
  
- All documentation should be provided in either English or Spanish.